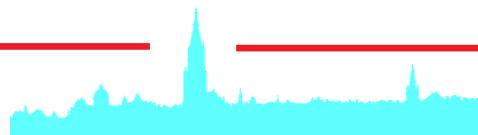


# **Variance component estimation for co-estimated noise parameters in GRACE Follow-On gravity field recovery**

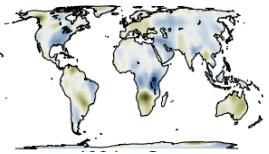
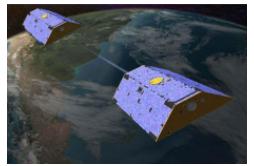
Martin Lasser, Ulrich Meyer, Daniel Arnold, Adrian Jäggi

*Astronomical Institute, University of Bern, Switzerland*

Gravity, Geoid, and Height Systems 2022 Symposium  
12 September 2022  
Austin, TX, USA



# Operational processing



## Basic parametrisation

- initial conditions 2x[6]
- accelerometer bias 2x[3]
- accelerometer scaling 2x[3]

parameters per arc 24

## Additional parameters

- 15 min PCA per satellite in
  - radial 2x[96]
  - along-track 2x[96]
  - cross-track 2x[96]

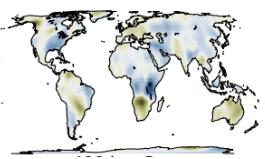
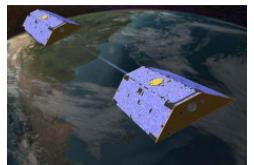
parameters per arc 576

in daily arcs (30 days):

- 18000 parameters,
- 17280 for the noise model
- + gravity field



# Operational processing



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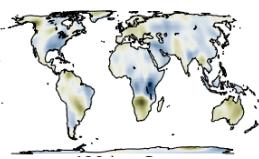
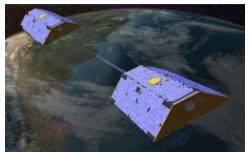
## Force models

Gravity field	Internal AIUB static GRACE field
Astromomic bodies	JPL DE421 (all planets + Pluto)
Mean pole	Linear
Solid Earth tides	IERS2010
Solid Earth pole tides	IERS2010
Ocean tides	FES2014b (+ admittances from TUG)
Ocean pole tides	Desai
Atmospheric tides	AOD RL06
Atmospheric & oceanic dealiasing	AOD RL06
Relativistic effects	IERS2010

Non-conservative forces:  
**ACT from TUG**



# VCE – constraints



## Basic parametrisation

- initial conditions 2x[6]
- accelerometer bias 2x[3]
- accelerometer scaling 2x[3]

parameters per arc 24

## Additional parameters

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  - radial 2x[96]
  - along-track 2x[96]
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parameters per arc 576

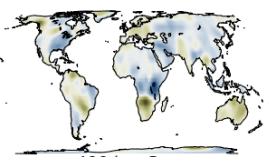
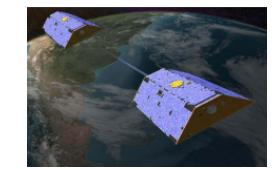
in daily arcs (30 days):

- 18000 parameters,
- 17280 for the noise model
- + gravity field

- Perturbation theory [Kim, 2000]:  
Errors in background models will (mostly) sum up in 1/rev  
  
→ frequently used in the Celestial Mechanics Approach  
[Beutler et al., 2010]



# VCE – constraints



## Basic parametrisation

- initial conditions 2x[6]
- accelerometer bias 2x[3]
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parameters per arc 24

## Additional parameters

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  - radial 2x[96]
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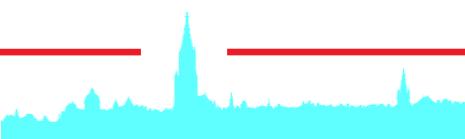
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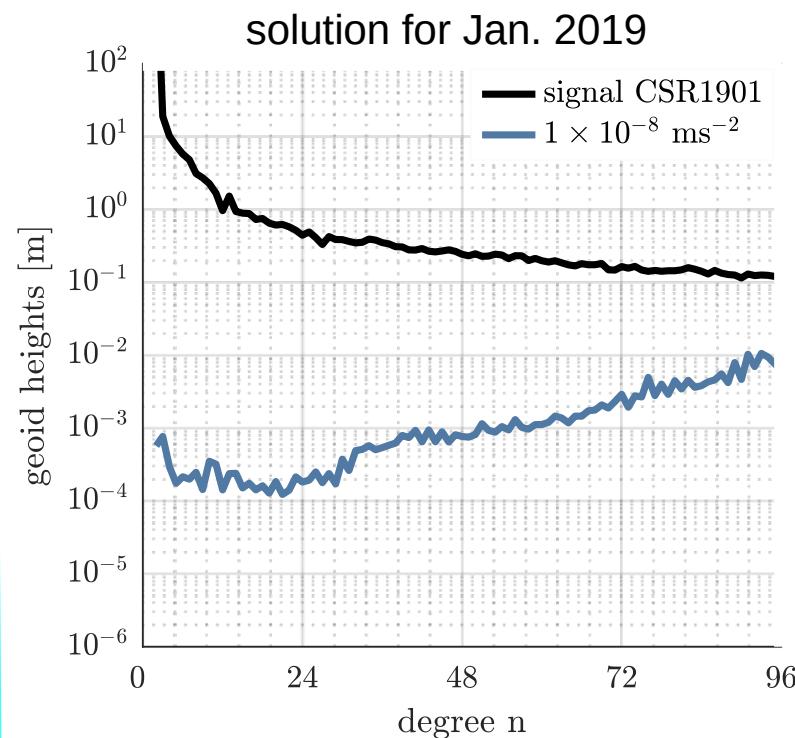
- Perturbation theory [Kim, 2000]:  
Errors in background models will (mostly) sum up in 1/rev  
  
→ frequently used in the Celestial Mechanics Approach  
[Beutler et al., 2010]

How to constrain their impact  
to the correct magnitude?



## Impact of different constraints

loose  
PCAs  
may  
become  
large



$1 \times 10^{-8} \text{ ms}^{-2}$

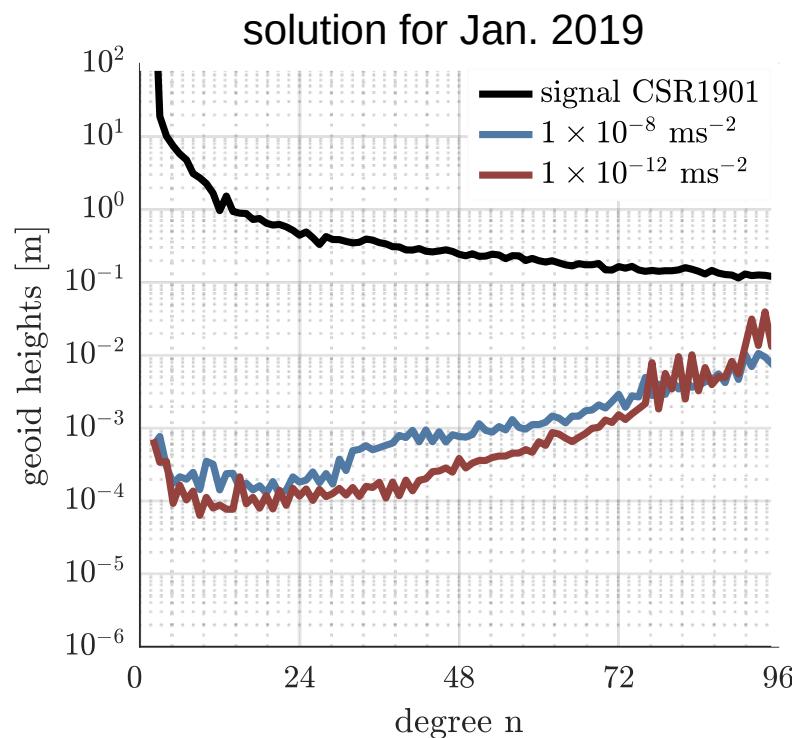
«loose» constraint

(gravity field signal absorbed in PCAs)

# Impact of different constraints

loose  
PCAs  
may  
become  
large

tight  
PCAs  
strongly  
confined



$1 \times 10^{-12} \text{ ms}^{-2}$

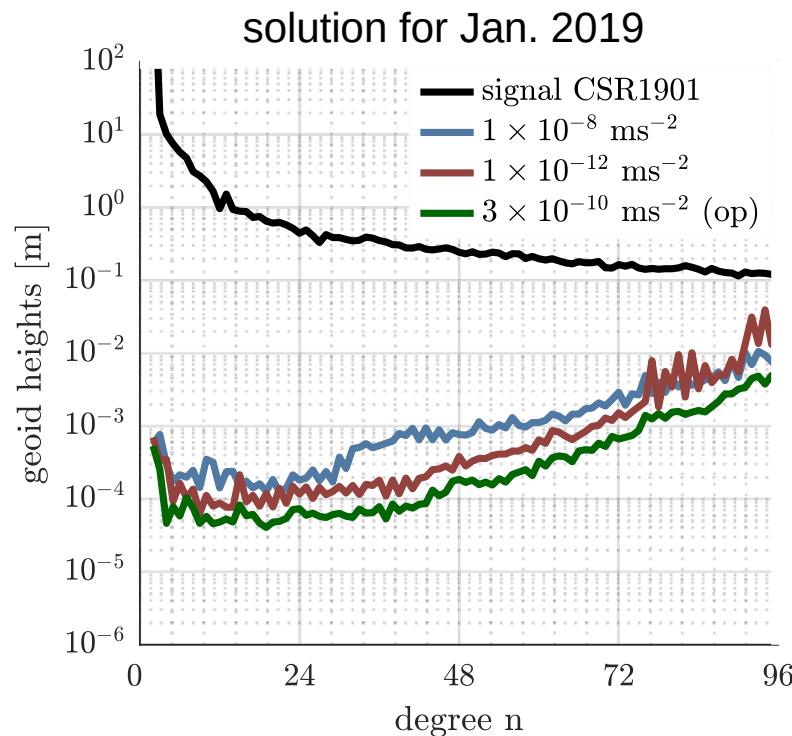
«tight» constraint

(not enough to absorb mis-modellings)

## Impact of different constraints

loose  
PCAs  
may  
become  
large

tight  
PCAs  
strongly  
confined



$$3 \times 10^{-10} \text{ ms}^{-2}$$

**reasonable balance**  
(applied in the operational solutions)

## Constraining

**A** design matrix

**P** weight matrix

**I** obser-vations

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A})$$

and

$$\mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l}$$



$$\hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$



## Constraining

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$$\hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

$$N = \begin{bmatrix} \text{blue} & \text{blue} & \text{green} & \text{cyan} \\ \text{blue} & \text{blue} & \text{blue} & \text{green} \\ \text{green} & \text{blue} & \text{blue} & \text{green} \\ \text{cyan} & \text{green} & \text{blue} & \text{blue} \end{bmatrix} + \begin{bmatrix} \text{orange} & \text{red} \end{bmatrix}$$

$$\frac{\sigma_0^2}{\sigma_{PCA}^2},$$

$$\sigma_{PCA}^2 = \text{e.g., } 3 \times 10^{-10} \text{ ms}^{-2}$$



## VCE and constraints

**A** design matrix

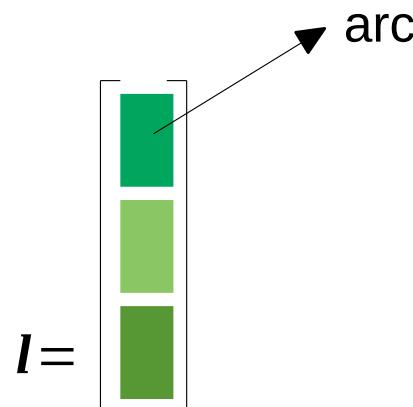
**P** weight matrix

**I** obser-vations

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

- The observations of each arc are used to set up the normal equations (NEQs)



## VCE and constraints

**A** design matrix

**P** weight matrix

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$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

- The observations of each arc are used to set up the normal equations (NEQs)
- Each arc is treated as being independent

arc

$$\mathbf{l} = \begin{bmatrix} \mathbf{l}_1 \\ \mathbf{l}_2 \\ \mathbf{l}_3 \end{bmatrix} \quad \begin{array}{l} \xrightarrow{\hspace{1cm}} \mathbf{A}_1 \mathbf{P}_1 \\ \xrightarrow{\hspace{1cm}} \mathbf{A}_2 \mathbf{P}_2 \\ \xrightarrow{\hspace{1cm}} \mathbf{A}_3 \mathbf{P}_3 \end{array}$$

## VCE and constraints

**A** design matrix

**P** weight matrix

**I** observations

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

- The observations of each arc are used to set up the normal equations (NEQs)
- Each arc is treated as being independent

$$\mathbf{l} = \begin{bmatrix} \mathbf{l}_1 \\ \mathbf{l}_2 \\ \mathbf{l}_3 \\ \mathbf{0} \\ \mathbf{0} \end{bmatrix} \quad \begin{array}{l} \xrightarrow{} \mathbf{A}_1 \mathbf{P}_1 \\ \xrightarrow{} \mathbf{A}_2 \mathbf{P}_2 \\ \xrightarrow{} \mathbf{A}_3 \mathbf{P}_3 \end{array}$$

## VCE and constraints

**A** design matrix

**P** weight matrix

**I** observations

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{I} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

- The observations of each arc are used to set up the normal equations (NEQs)
- Each arc is treated as being independent

$$\mathbf{I} = \begin{bmatrix} & \\ & \\ & \\ & \\ & \\ & \end{bmatrix} \quad \begin{array}{l} \xrightarrow{\text{green}} \mathbf{A}_1 \mathbf{P}_1 \\ \xrightarrow{\text{green}} \mathbf{A}_2 \mathbf{P}_2 \\ \xrightarrow{\text{green}} \mathbf{A}_3 \mathbf{P}_3 \\ \xrightarrow{\text{orange}} \mathbf{I}_a \mathbf{W}_a \\ \xrightarrow{\text{red}} \mathbf{I}_b \mathbf{W}_b \end{array}$$

# VCE and constraints

## A design matrix

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

**P** weight matrix

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

## *I* observations

VCE: Each group of observations gets a weight based on its contribution to the final solution

## VCE and constraints

**A** design matrix

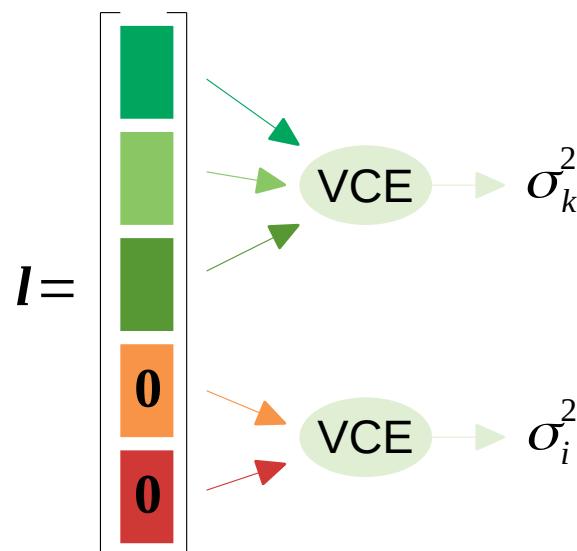
**P** weight matrix

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$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

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# VCE and constraints

## A design matrix

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

**P** weight matrix

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

## *I* observations

$$l = \left[ \begin{array}{c} \text{[green]} \\ \text{[green]} \\ \text{[green]} \\ \text{[orange]} \\ \text{[red]} \end{array} \right] \quad \left\{ \begin{array}{l} \text{VCE} \rightarrow \sigma_k^2 \\ \text{VCE} \rightarrow \sigma_i^2 \end{array} \right. \quad \hat{\mathbf{x}} = \left( \sum_{k=1}^{K=3} \frac{\sigma_0^2}{\sigma_k^2} \mathbf{N}_k + \sum_{i=1}^{I=2} \frac{\sigma_0^2}{\sigma_i^2} \mathbf{W}_i \right)^{-1} \sum_{k=1}^{K=3} \frac{\sigma_0^2}{\sigma_k^2} \mathbf{b}_k$$

VCE: Each group of observations gets a weight based on its contribution to the final solution

# VCE and constraints

## A design matrix

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A}) \quad \text{and} \quad \mathbf{b} = \mathbf{A}^T \mathbf{P} \mathbf{l} \quad \longrightarrow \quad \hat{\mathbf{x}} = \mathbf{N}^{-1} \mathbf{b}$$

**P** weight matrix

$$\mathbf{N} = (\mathbf{A}^T \mathbf{P} \mathbf{A} + \mathbf{W})$$

## *I* observations

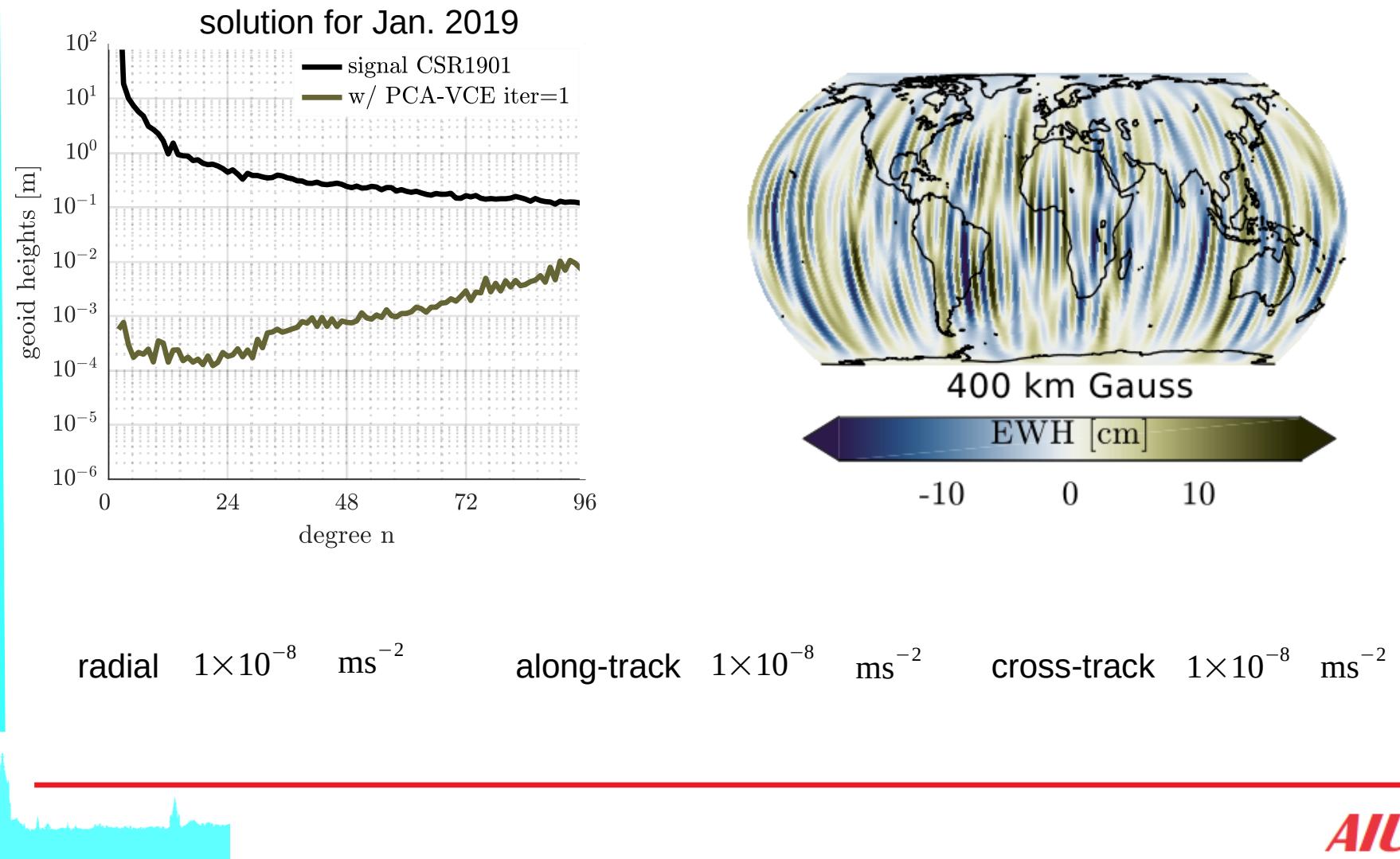
$$l = \sigma_k^2 + \sigma_i^2$$

VCE: Each group of observations gets a weight based on its contribution to the final solution

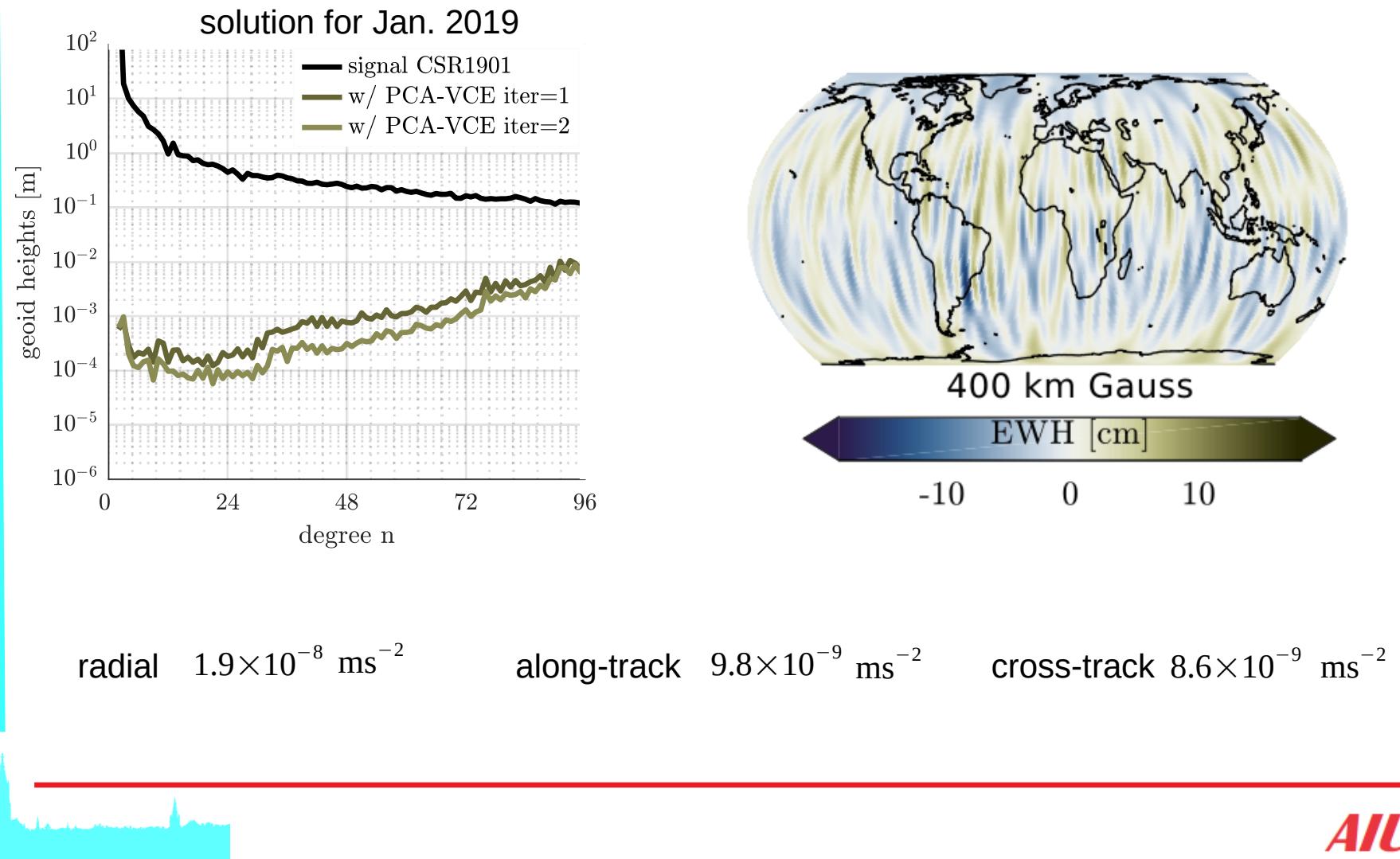
$$\hat{\mathbf{x}} = \left( \sum_{k=1}^{K=3} \frac{\sigma_0^2}{O_k^2} \mathbf{N} + \sum_{i=1}^{I=2} \frac{\sigma_0^2}{O_i^2} \mathbf{W}_i \right)^{-1} \sum_{k=1}^{K=3} \frac{\sigma_0^2}{O_k^2} \mathbf{b}_k$$

information about observations introduced via  $\sigma^2$

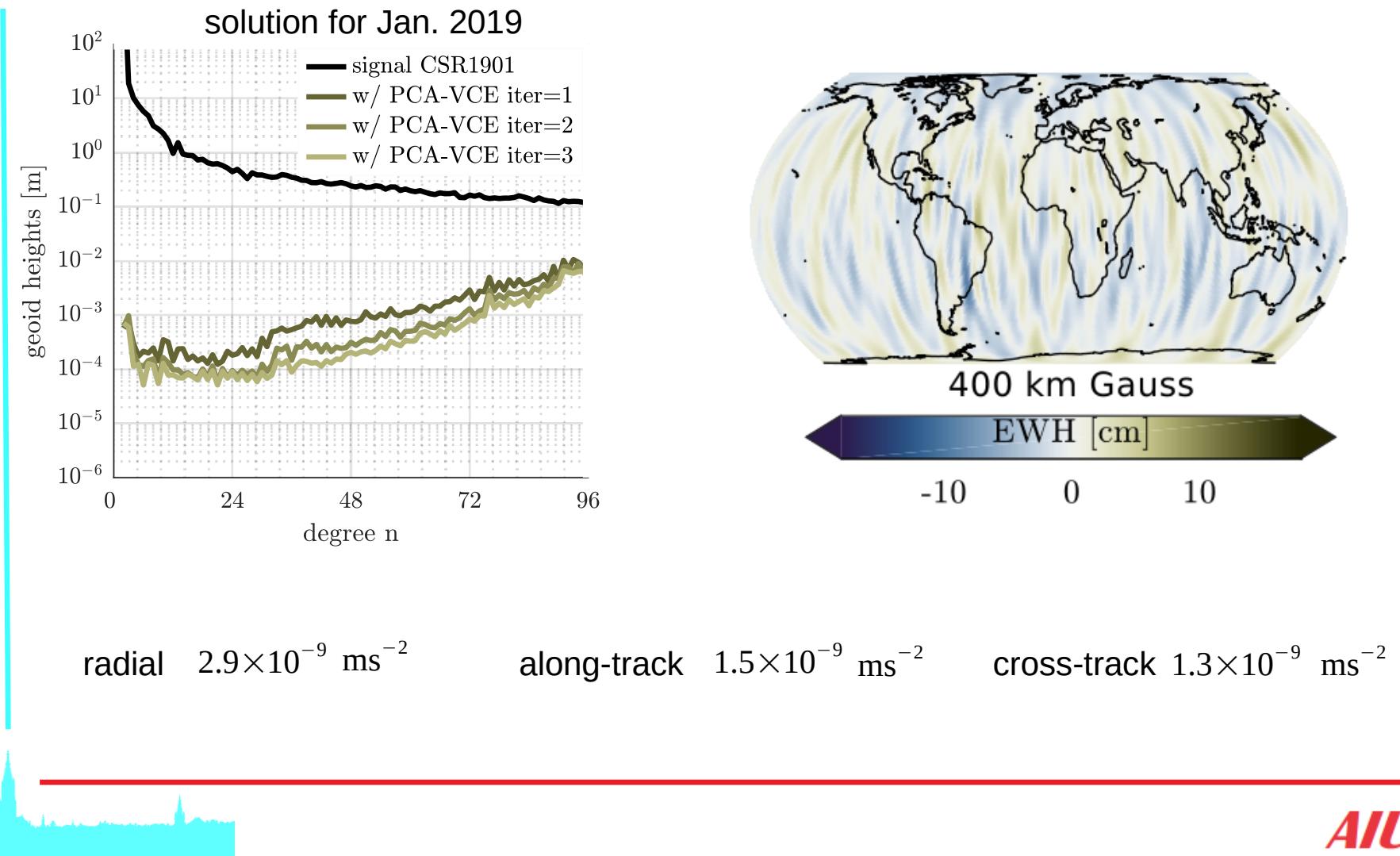
# Results for VCE on constraints



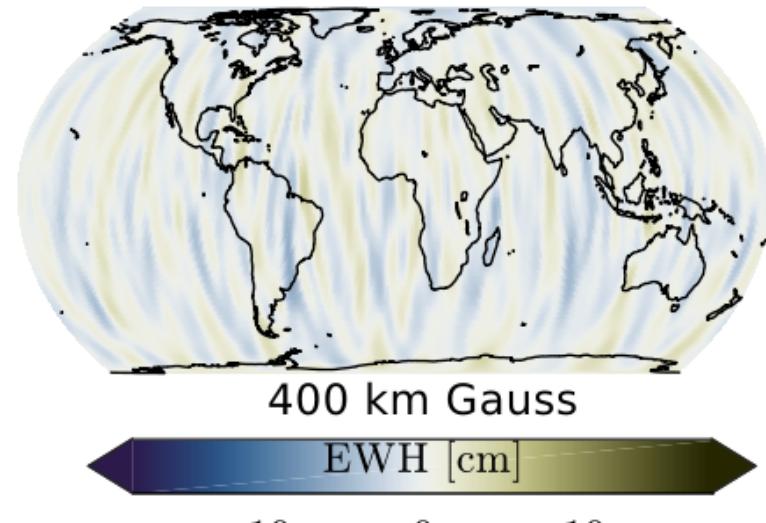
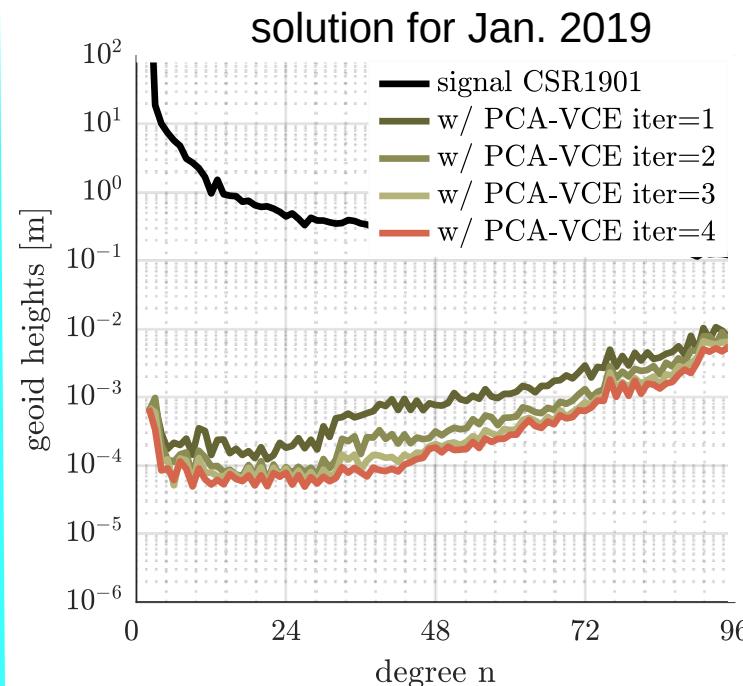
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# Results for VCE on constraints



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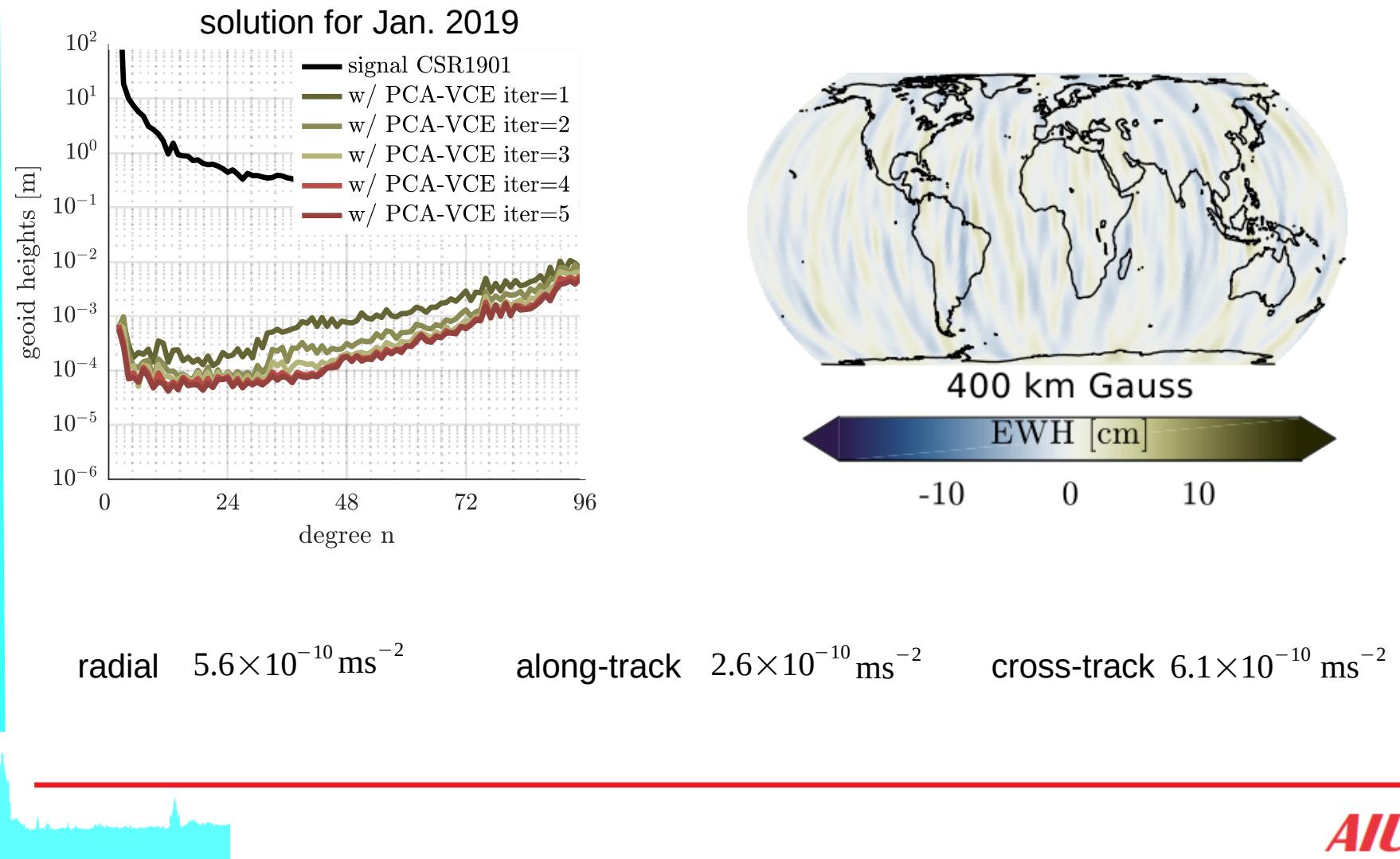


radial  $1.2 \times 10^{-9} \text{ ms}^{-2}$

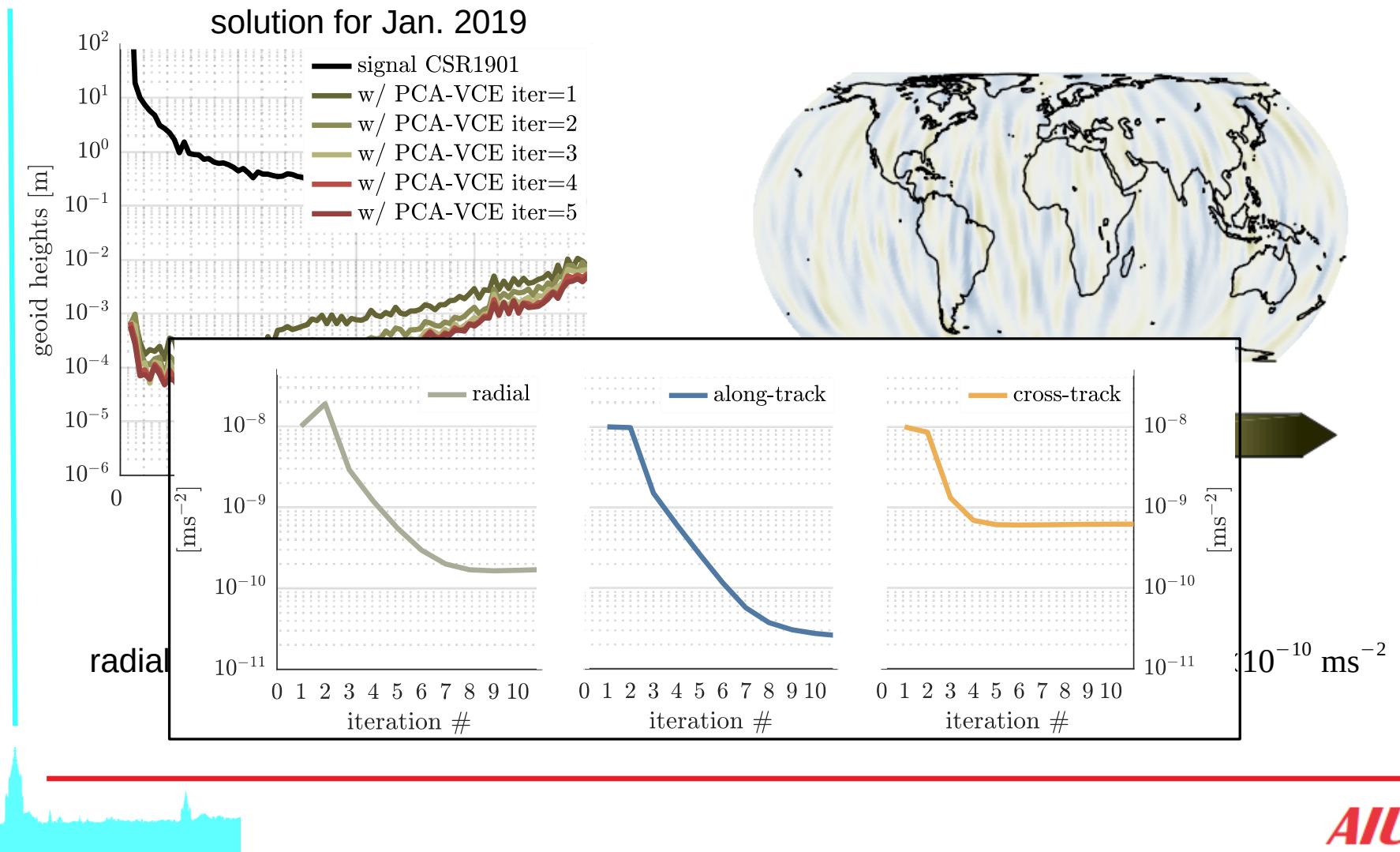
along-track  $6.2 \times 10^{-10} \text{ ms}^{-2}$

cross-track  $6.9 \times 10^{-10} \text{ ms}^{-2}$

# Results for VCE on constraints

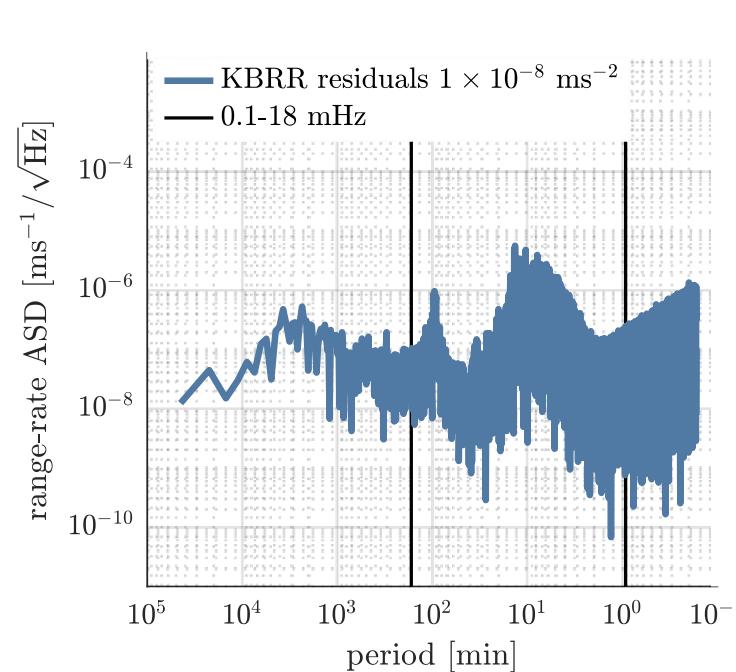


# Results for VCE on constraints



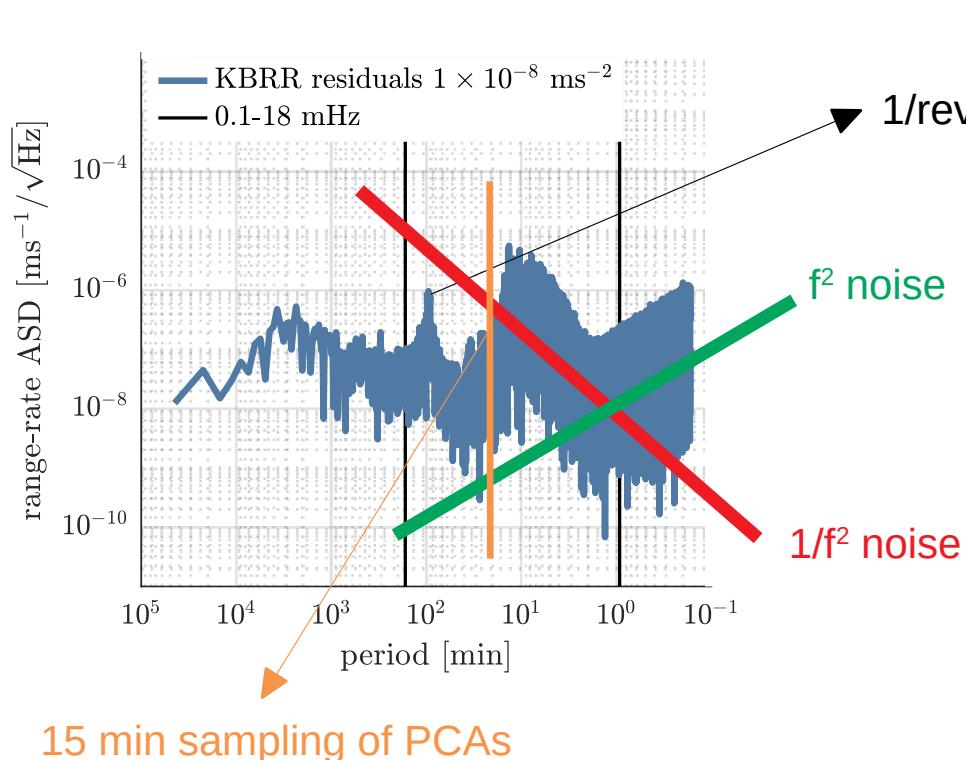
## Results for VCE on constraints – post-fit residuals

$$\hat{\mathbf{e}} = \mathbf{l} - \mathbf{A} \hat{\mathbf{x}}$$



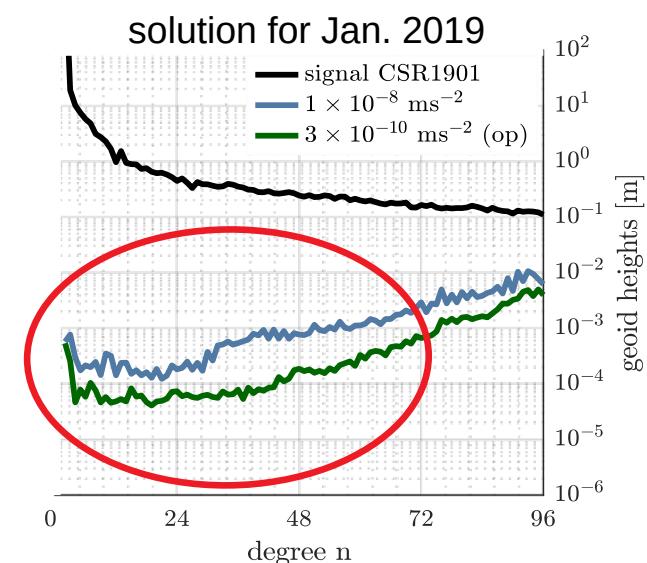
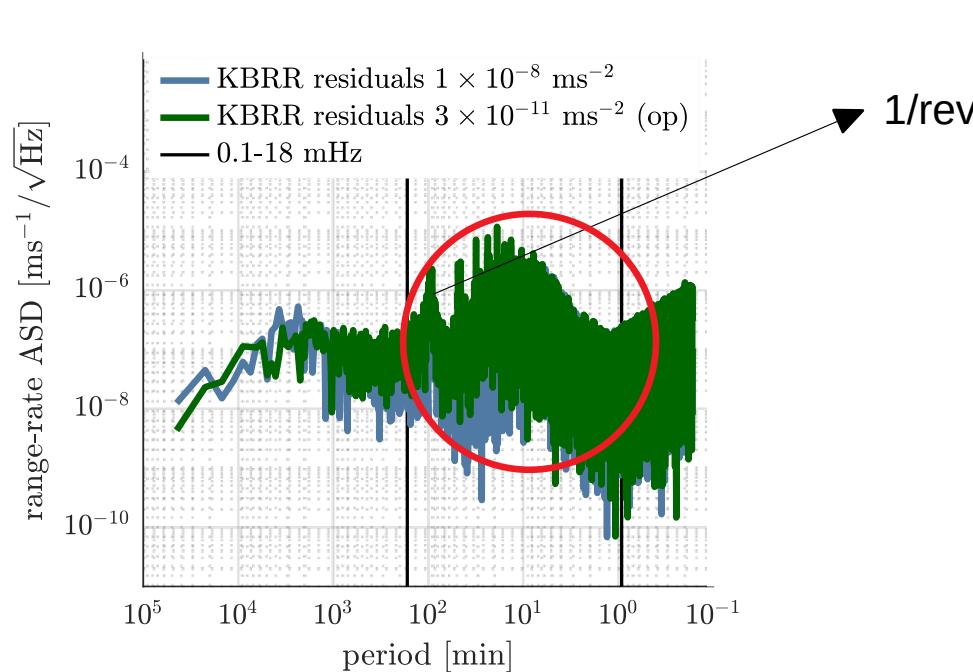
## Results for VCE on constraints – post-fit residuals

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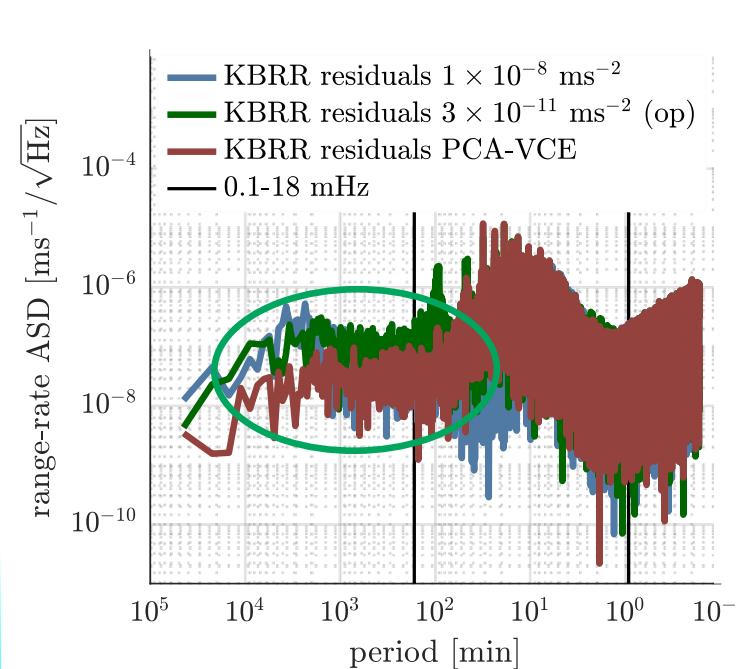
## Results for VCE on constraints – post-fit residuals

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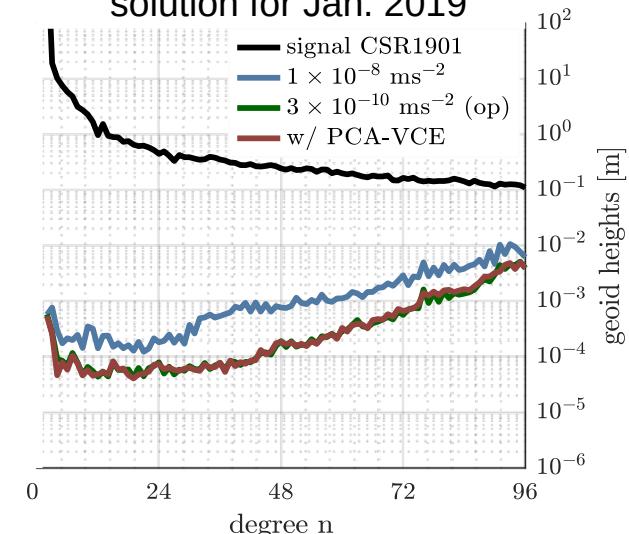
## Results for VCE on constraints – post-fit residuals

$$\hat{\mathbf{e}} = \mathbf{l} - \mathbf{A} \hat{\mathbf{x}}$$



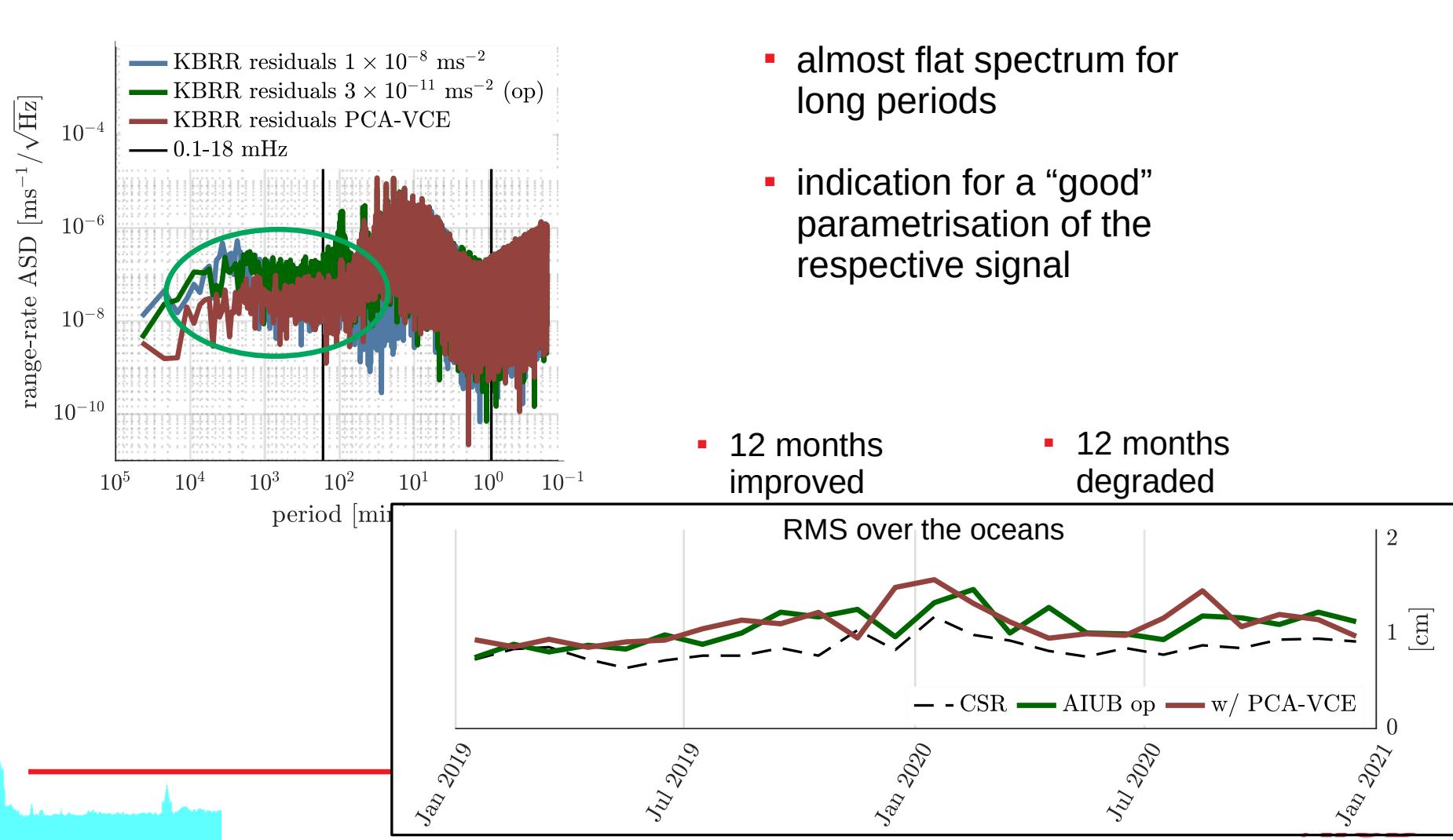
- almost flat spectrum for long periods
- indication for a “good” parametrisation of the respective signal

solution for Jan. 2019



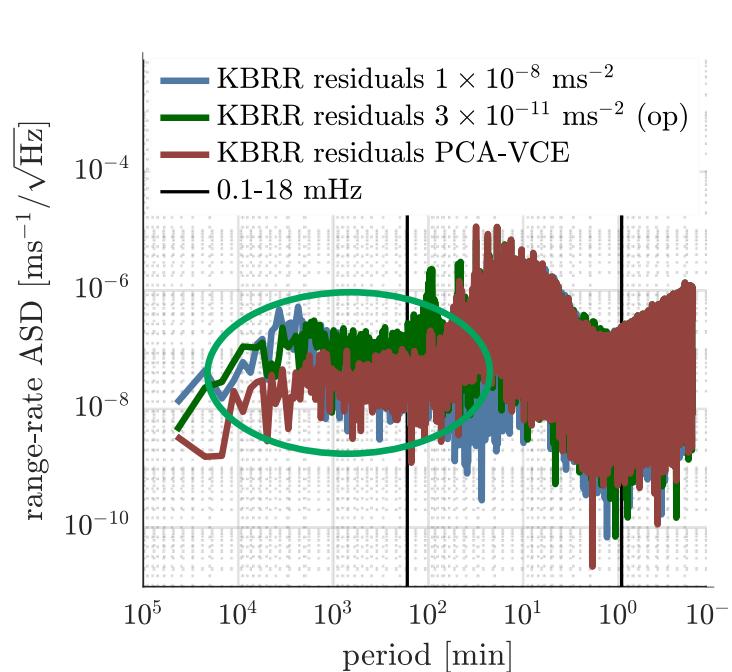
## Results for VCE on constraints – summary

$$\hat{\mathbf{e}} = \mathbf{l} - \mathbf{A} \hat{\mathbf{x}}$$



## Results for VCE on constraints – summary

$$\hat{\mathbf{e}} = \mathbf{l} - \mathbf{A} \hat{\mathbf{x}}$$



- observation-based approach
- computed together with the solution
- provides a good solution (if PCAs sample correctly)
- computational efficiency...
- observation-based – outliers

Thank you for your attention



## References

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- Beutler, G., Jäggi, A., Mervart, L. and Meyer, U. [2010]: The celestial mechanics approach: theoretical foundations. *Journal of Geodesy*, vol. 84(10), pp. 605-624. <https://doi.org/10.1007/s00190-010-0401-7>
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- NASA Jet Propulsion Laboratory (JPL) [2019]: GRACE-FO Monthly Geopotential Spherical Harmonics CSR Release 6.0. <https://doi.org/10.5067/GFL20-MC060>

